



Affective Sciences

SWISS NATIONAL CENTER OF COMPETENCE IN RESEARCH

FNSNF

FONDS NATIONAL SUISSE  
SCHWEIZERISCHER NATIONALFONDS  
FONDO NAZIONALE SVIZZERO  
SWISS NATIONAL SCIENCE FOUNDATION



UNIVERSITÉ  
DE GENÈVE

# NCCR Affective Sciences

Prof. Klaus Scherer

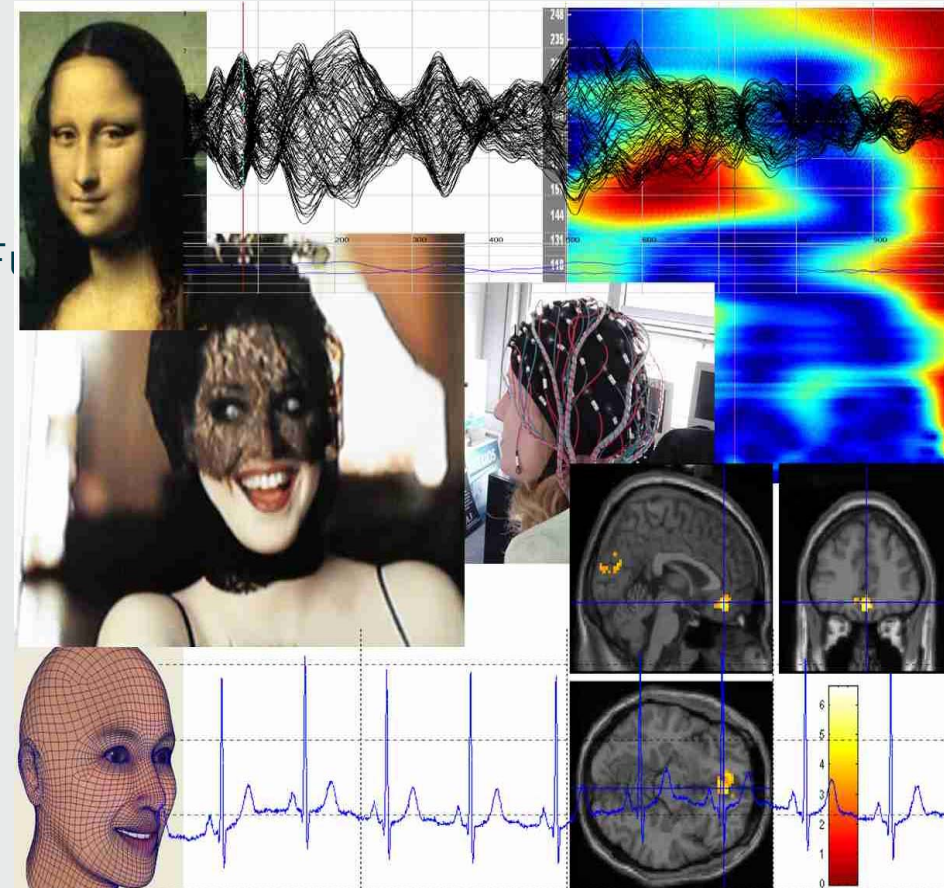
September 1, 2008 - Riederalp



## Affective Sciences

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1. The NCCR in brief
2. An emerging discipline
3. Research topics and objectives
4. The essential synergy : interdisciplinarity
5. Positions funded
6. Structure of the NCCR
7. Areas of application
8. Initial research results
9. Knowledge transfer
10. Our partners
11. Education and training
12. Useful areas for collaborations with IM2





- One of Switzerland's 20 National Centres of Competence in Research (NCCRs)
- First interdisciplinary research centre in the world dedicated to the study of emotions and their effects on individual behavior and society at large
- Host institution: UNIGE
- Launched September 1, 2005
- 10 research teams
- 5 Universities (GE,FR,NE,BE,ZH)





# Affective Sciences

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## 1.1. The Swiss Network



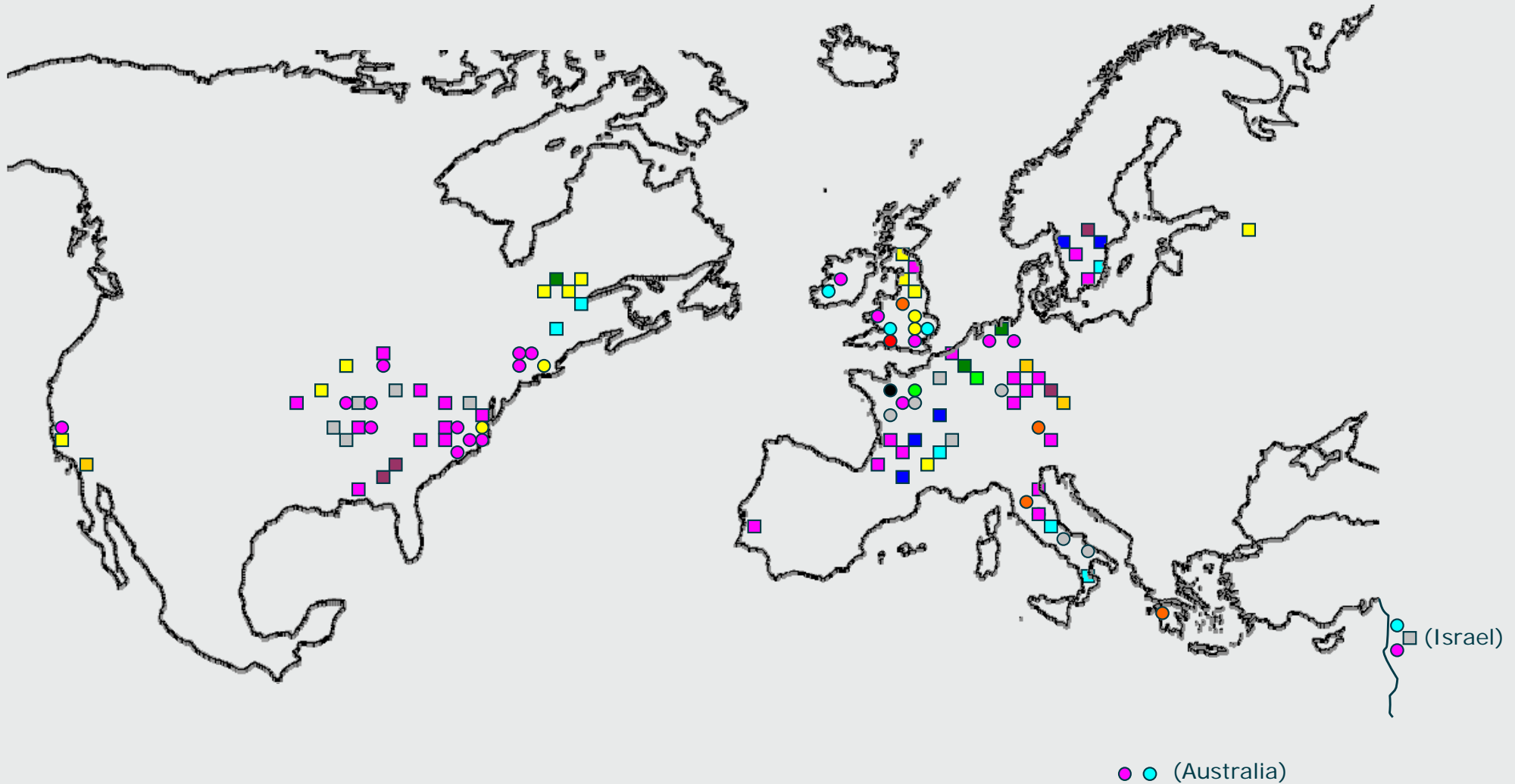
# 1.2. The international network



## Affective Sciences

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- Psychology
- Neuroscience
- Philosophy
- Linguistics
- History
- Computer Science
- Sociology
- Legal Science
- Psychobiology
- Economics
- Literature
- Education





## 2. An emerging discipline

- The “affective revolution”: emotions play a key part in decision making and behavior
- The affective sciences combine the following disciplines: psychology, philosophy, economics, political science, law, criminology, psychiatry, neuroscience, education, sociology, ethology, literature, history and anthropology.
- Of interest to scholars – but also to political and economic leaders



## 3. Research topics and objectives

### 3 overarching research topics

- How are emotions triggered?
- How are emotions regulated?
- How do emotions affect life in society?

### 3 objectives

- Scientific research
- Application of research findings in public and private sectors
- Training a new generation of researchers



## 4. The essential synergy : interdisciplanarity

- Common research theme : the affect
- Participation of the most relevant disciplines
- Multiple analysis levels
- Transversal integration of perspectives
- Unification of concept, methods and instruments
- Collaborations with important research and training centers at the international level





- SNSF (renewable 3 times): 10 million CHF
- University of Geneva, over 4 years: 2.25 million CHF
- Indirect participation of associated universities over 4 years: 84 million CHF
- External partners: 400,000 CHF

➔ **Total over 4 years: 21 million CHF**

### SNSF-funded positions

Project leaders	0
Postdocs	18
PhD students	24
Total	42

### Positions funded by the universities

Project leaders	20
Postdocs	16
PhD students	20
Total	56



## 7. Structure of the NCCR

**Director:** Klaus Scherer  
**Deputy Directors:** Kevin Mulligan & Martial Van der Linden

**Scientific coordinator:**  
David Sander

### Management

Administration: Daniela Sauge, Audrey Souchard  
Computer and Internet Resources: Didier Grandjean  
Communication, E&T: Pablo Achard  
Communication & Knowledge Transfer: Carole Varone  
Advancement of women: S. Kaiser et M. Schmid Mast

**Steering board :** Klaus Scherer, Kevin Mulligan, Martial Van der Linden, David Sander, Meinrad Perrez

### RESEARCH

#### Emotion elicitation and perception

**Appraisal and motivation** – Scherer/Gendolla

**Response patterning** – Scherer/Kaiser

**Neural architecture** – Vuilleumier/Landis

#### Emotion regulation

**Regulation and family** – Perrez/Reicherts

**Work and emotions** – Semmer/Tchan

**Regulation and executive functions** – Van der Linden

#### Social functions of emotion

**Norm compliance** – Fehr

**Values and Norms** – Mulligan

**Emotions and Law** – Flückiger /Robert/Roth

**Myths and rites** – Borgeaud

#### Focus

**Empathy & prosocial behaviour in the lifespan** – Labouvie-Vief/Singer

**Antisocial and impulsive Behaviour** – Van der Linden/Eliez

**Self-reflexive Emotions**–Mulligan/Gendolla

**Language and culture** –Borgeaud/Scherer

**Aesthetic emotions** –Lombardo/Scherer

**Appraisal processes in decision** – Fehr/Wranik

**Gender differences** – Kaiser/Schmid-Mast

### METHODS



## 8. Areas of application

***HEALTH***

***WORK***

***FAMILY***

***VIOLENCE***

***LAW***

***ECONOMICS***

***ARTS***





- Number of publications so far: 114
- Software development
- Questionnaire and tool development for psychological analysis
- Database creation
- Awards and distinctions: 4



## Knowledge transfer to the academic community

- Organization and participation to conferences and workshops
- Teaching affective sciences at different levels
- Implementation of tools for diagnostics, tests, evaluations...
- Sharing of databases

## Knowledge transfer to public and private sectors

- Joint funding of research
- Consulting
- Colloquia
- Continuing education
- Publication for broad audiences
- Museums, TV, radio, special events (Nuit de la science)



Health : Health and Social Welfare, Geneva

Work : professional training MAS in HR management

Family : Public Health Directorate, Fribourg

Violence : Public School Health Department, Geneva

Industry : Firmenich Inc.

Arts : Geneva museums

Associations : Swiss Household Panel; IFOTES



### Graduate school

- 3 years
- Teach interdisciplinary skills
- 24 PhD students
- Summer school, workshops, international conferences, rotation around laboratories, targeted funding

### Postdoctoral school

- 1-2 years
- Promote excellence in research
- 25 postdoctoral fellows
- Visits to international laboratories, guest professors, supervised teaching assignments



**National Centre of Competence in Research (NCCR)  
in Affective Sciences**

**7, rue des Battoirs**

**1205 Geneva, Switzerland**

**[www.affective-sciences.org](http://www.affective-sciences.org)**

***Director*** : Klaus Scherer, [klaus.scherer@unige.ch](mailto:klaus.scherer@unige.ch)

***Scientific Coordinator*** : David Sander, [david.sander@unige.ch](mailto:david.sander@unige.ch)

***Communication, E&T*** : Pablo Achard, [pablo.achard@unige.ch](mailto:pablo.achard@unige.ch)

***Knowledge Transfer***: Carole Varone, [carole.varone@unige.ch](mailto:carole.varone@unige.ch)



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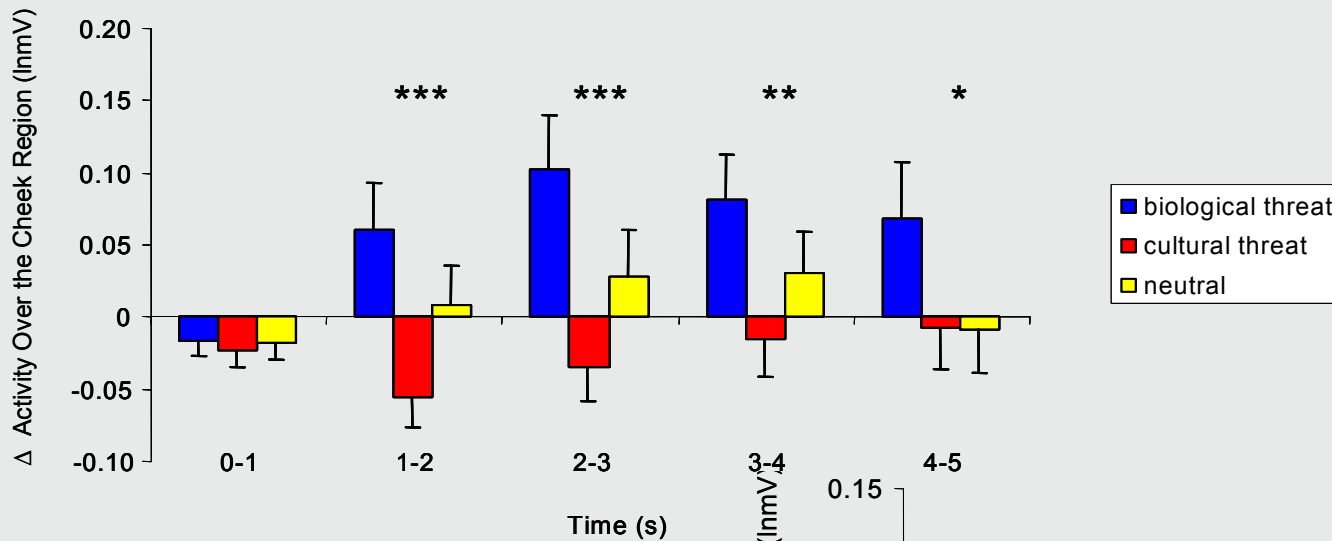
## Links for collaborations with IM2



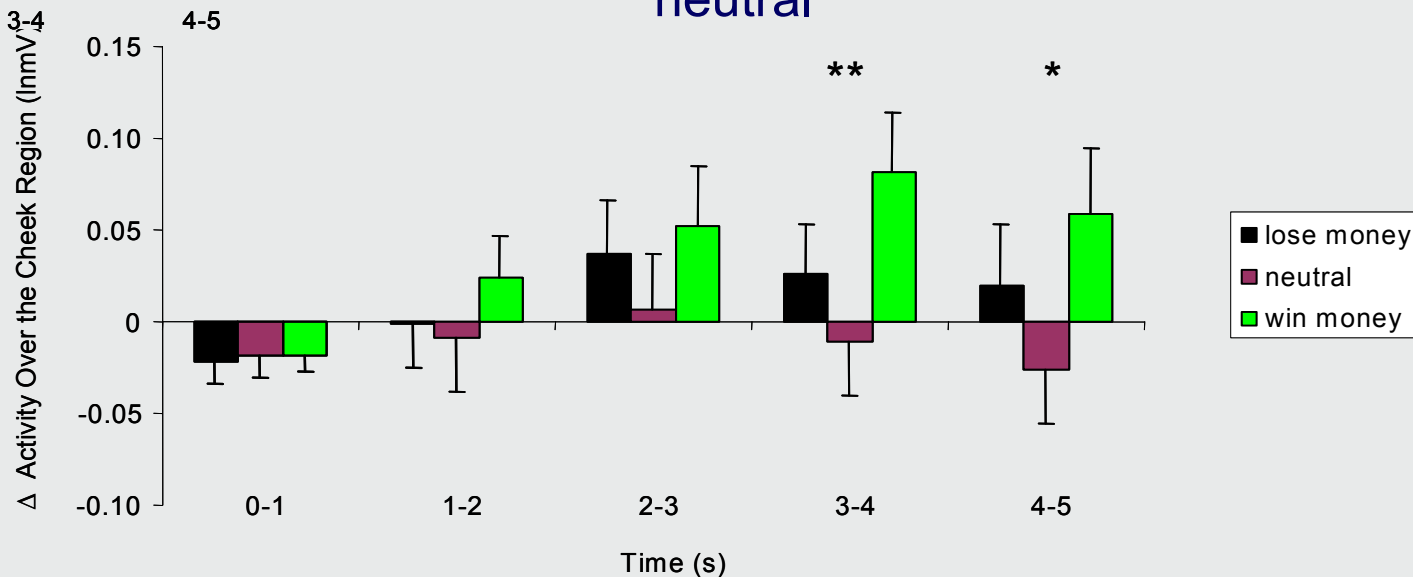
# Onset of facial muscles in a win/lose viewing task

## Muscle Activity Over the Cheek Region (M. zygomaticus)

1-5s after stimulus onset: significant effect of *Goal Relevance* - biological threat > neutral objects > cultural threat



3-5s after stimulus onset: Significant effect of *Goal Conduciveness*: win > lose > neutral

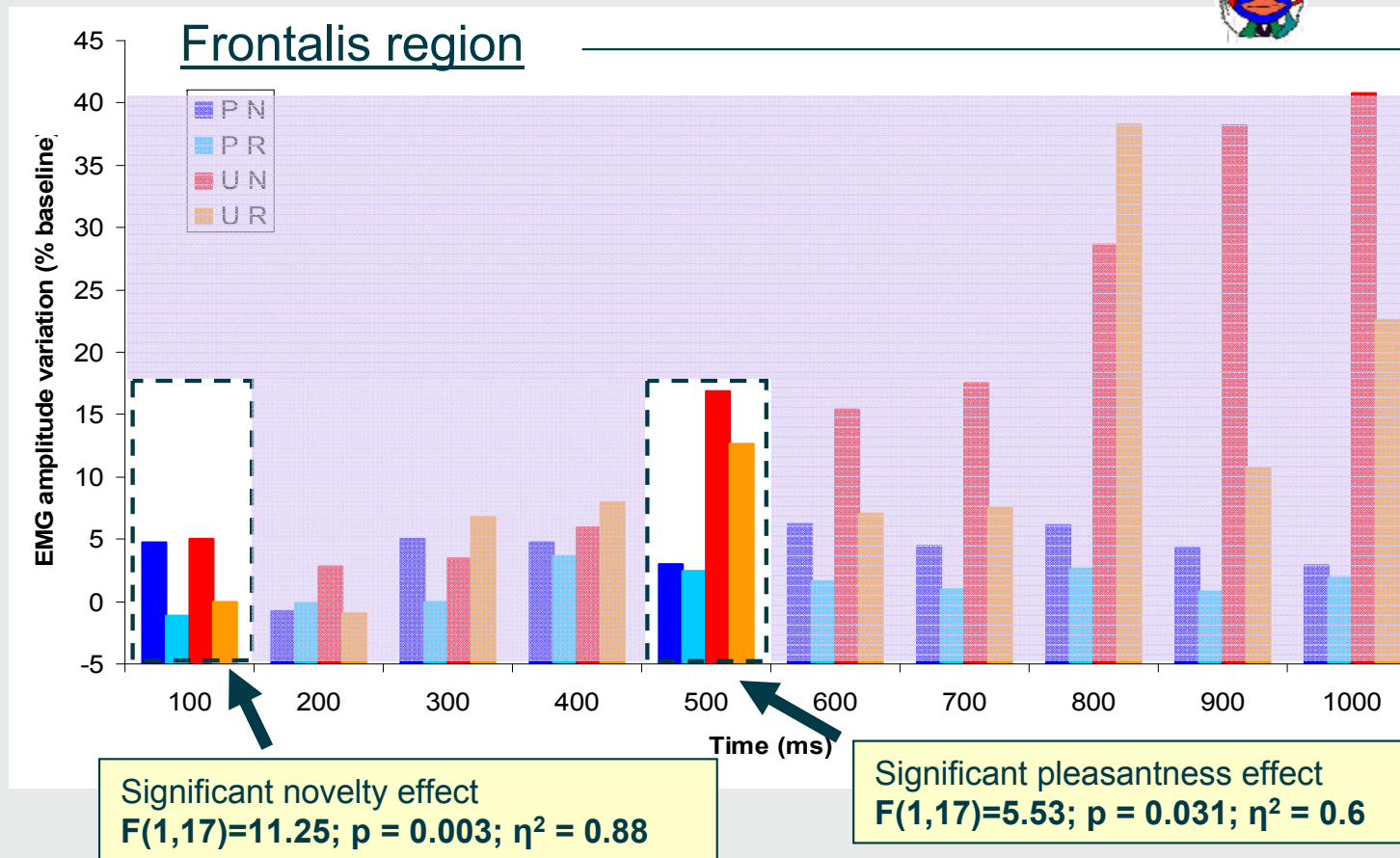


Aue, T., Flykt, A., & Scherer, K.R. (2007). First evidence for differential and sequential efferent effects of goal relevance and goal conduciveness appraisal. *Biological Psychology*, 74, 347–357.



- P = pleasant odors
- U = unpleasant odors
- N = novel (never presented before)
- R = repeated (presented once before)

Frontalis

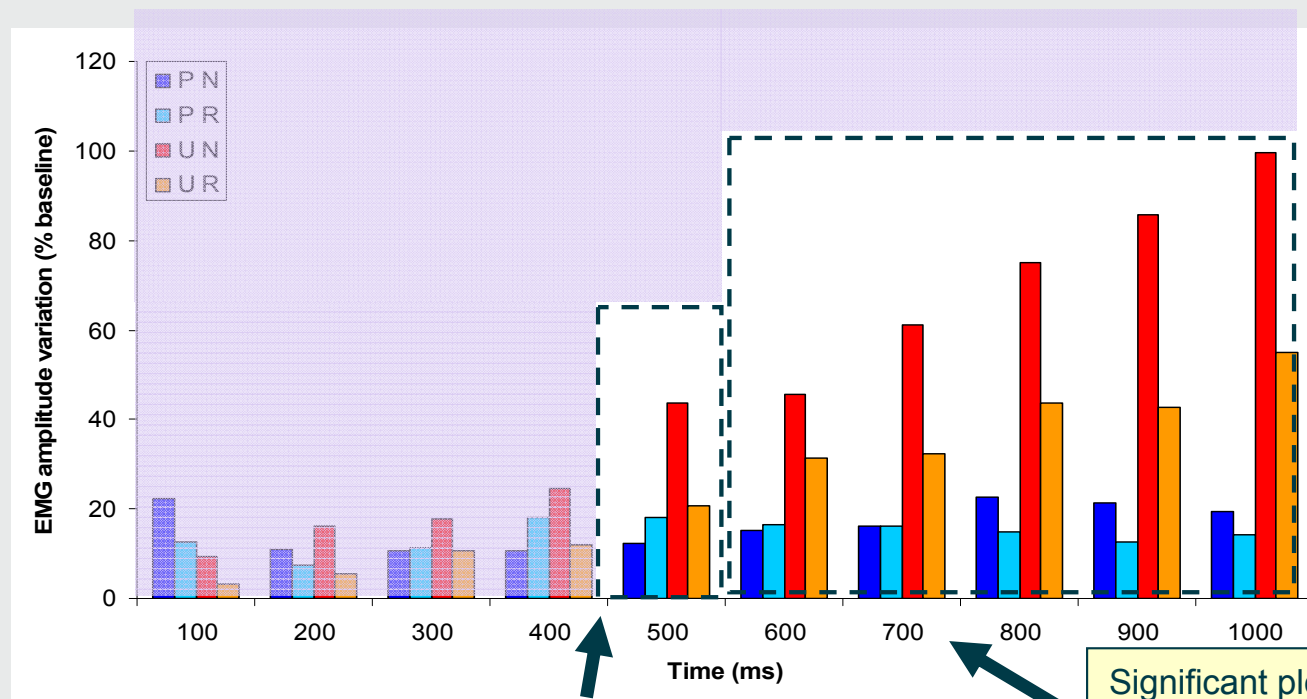




# Corrugator activity in response to target odors

**P = pleasant odors**  
**U = unpleasant odors**  
**N = novel (never presented before)**  
**R = repeated (presented once before)**

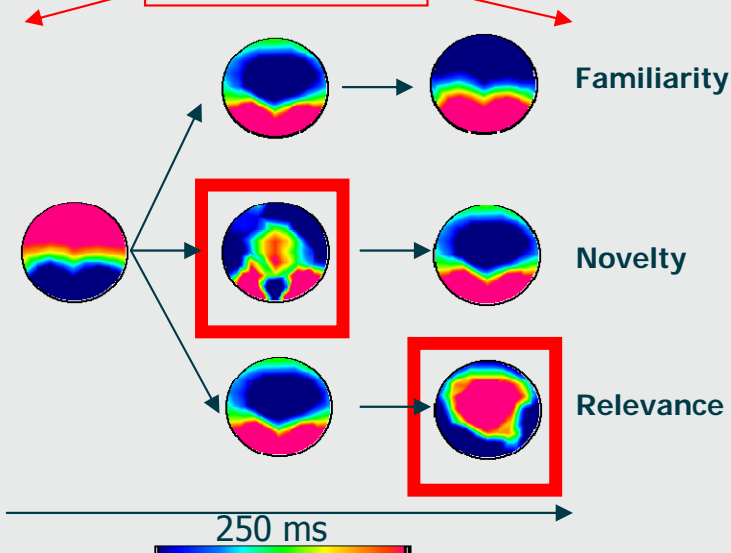
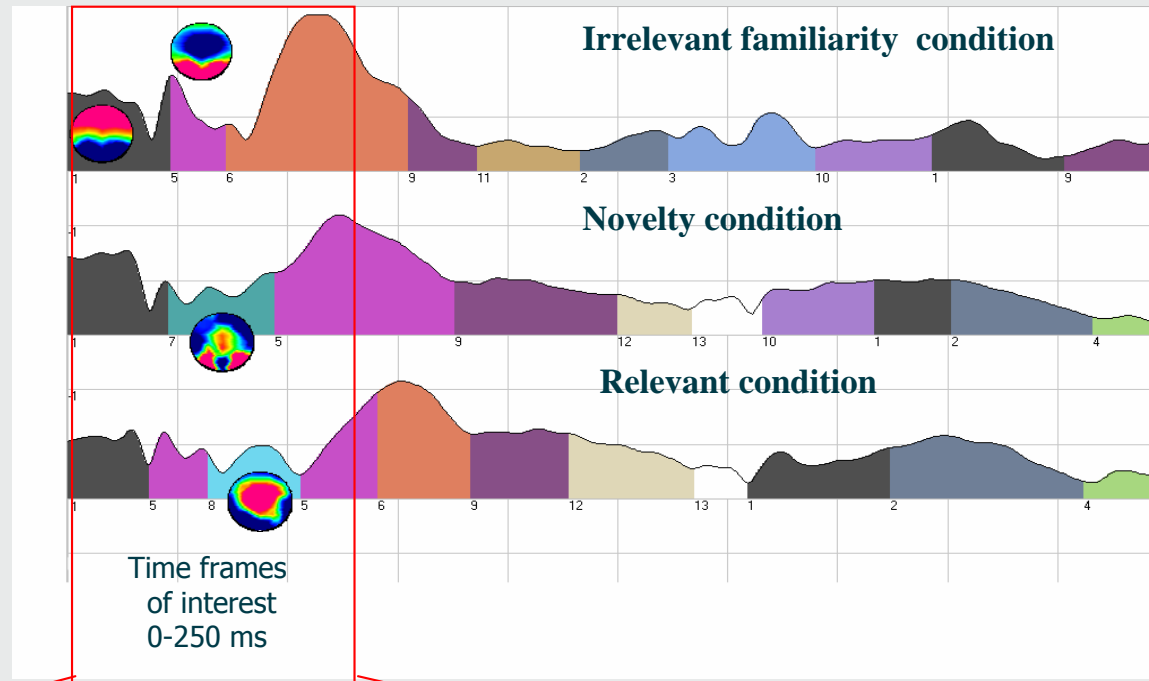
Corrugator



Significant novelty \* pleasantness interaction  
 $F(1,17)=7.18$ ;  $p = 0.015$ ;  $\eta^2 = 0.71$

Significant pleasantness effects  
600:  $F(1,17)=4.46$ ;  $p = 0.049$ ;  $\eta^2 = 0.51$   
700:  $F(1,17)=5.64$ ;  $p = 0.045$ ;  $\eta^2 = 0.53$   
800:  $F(1,17)=6.84$ ;  $p = 0.018$ ;  $\eta^2 = 0.69$   
900:  $F(1,17)=5.53$ ;  $p = 0.017$ ;  $\eta^2 = 0.7$   
1000:  $F(1,17)=5.53$ ;  $p = 0.005$ ;  $\eta^2 = 0.85$

# Experiment 1: Topographical analyses

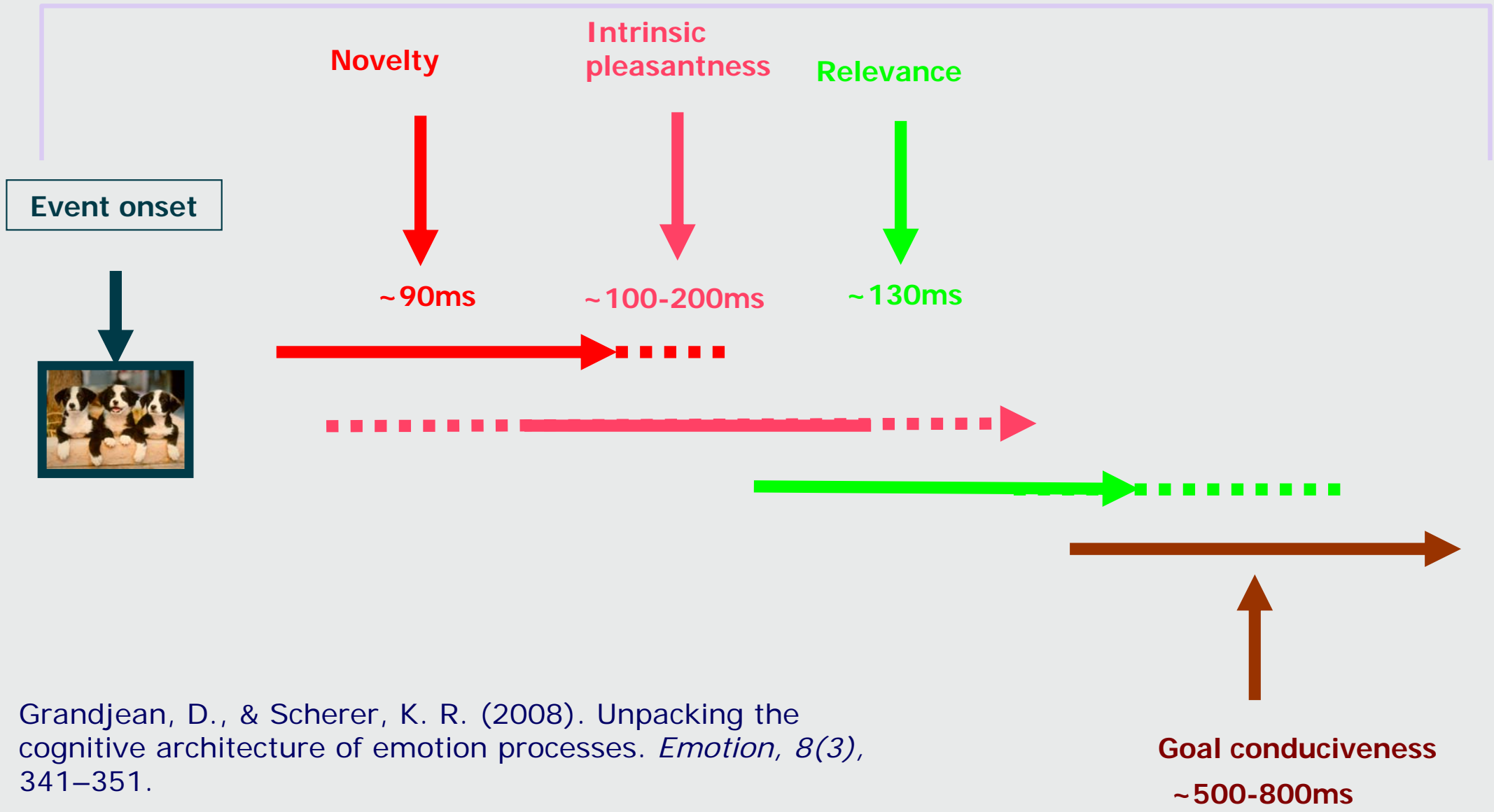


## Repeated measures Anova:

Interaction effect Maps X Conditions ( $p < 0.05$ ),  
 Contrast «**Novelty map**» for Novelty vs Relevance and  
 Familiarity ( $F(1,13) = 8,97, p < .05, \eta^2 = .41$ ).  
 Contrast «**Relevance map**» for Relevance vs Novelty and  
 Familiarity ( $F(1,13) = 5,13, p < .05, \eta^2 = .28$ ).



## Appraisal sequence



Grandjean, D., & Scherer, K. R. (2008). Unpacking the cognitive architecture of emotion processes. *Emotion, 8*(3), 341–351.

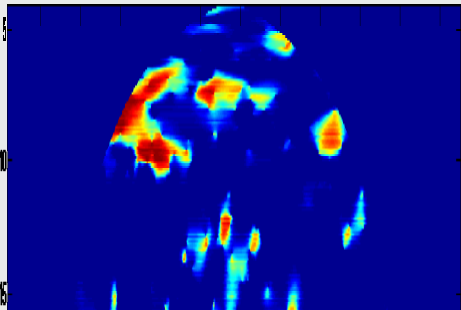




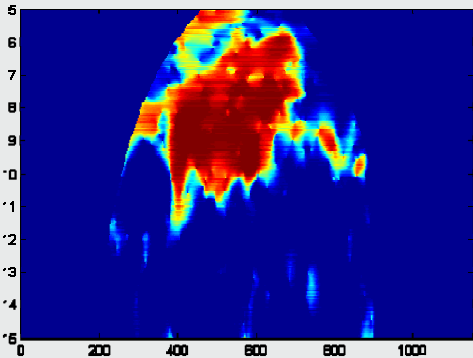


# Coupling between amygdala and orbito-frontal cortex

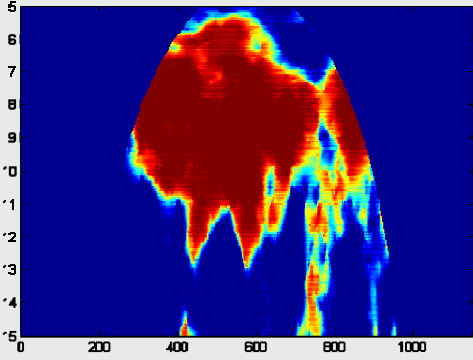
## Synthetic sound



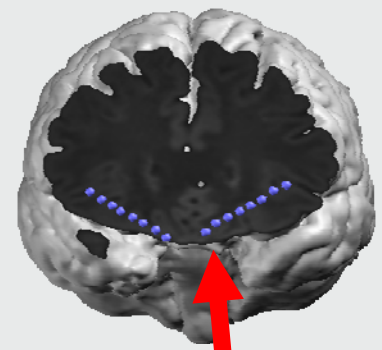
## Neutral utterance



## Anger utterance



## Orbitofrontal cortex

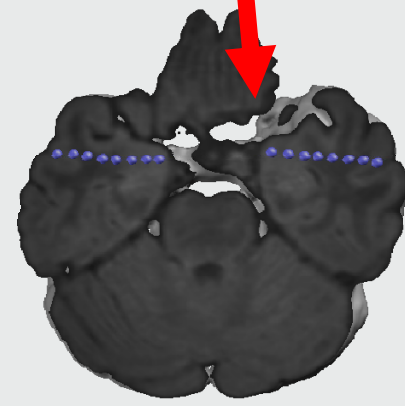


RightOFC

Left OFC

**Phase coupling**

## Amygdala



Left Amy

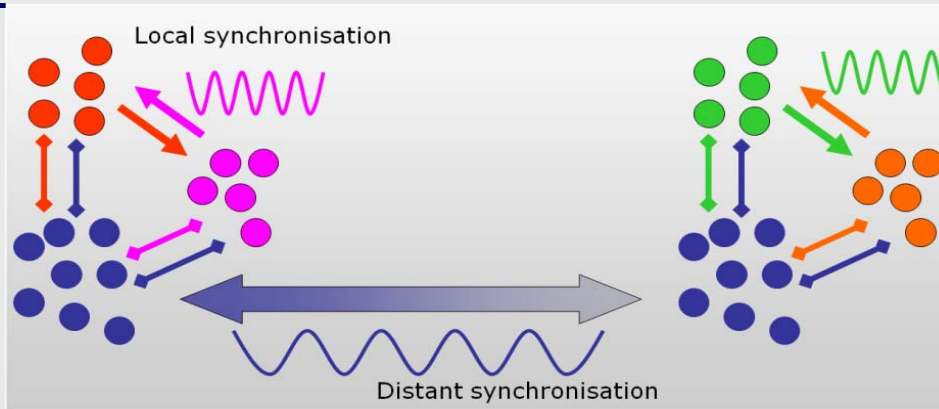
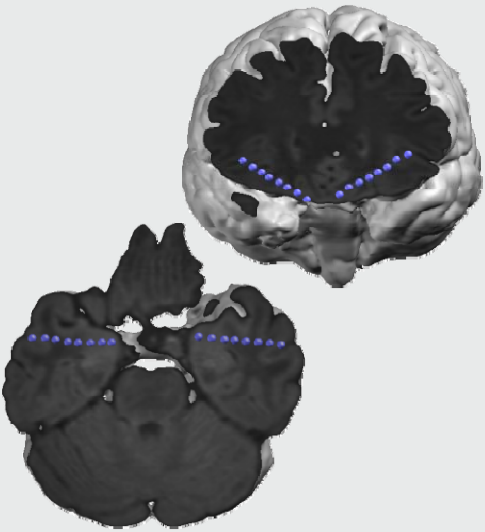
Right Amy

Phase coupling demonstrates an increase of synchronization between amygdala and OFC related to the perception of voices and particularly angry voices. This makes the assumption of separate "roads" somewhat questionable.

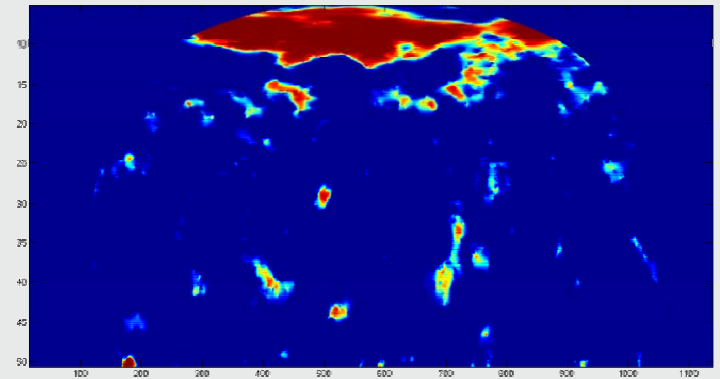


# The emergence of conscious emotional feeling: Synchronization and neuronal connectivity

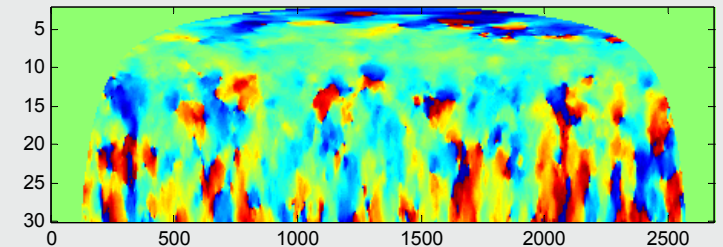
## NEURONAL LEVEL



## Phase synchronizations

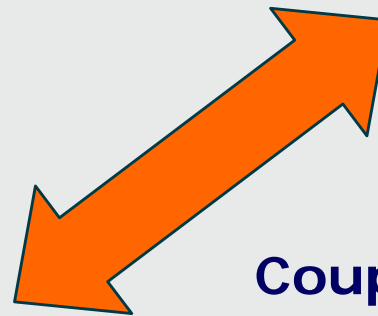
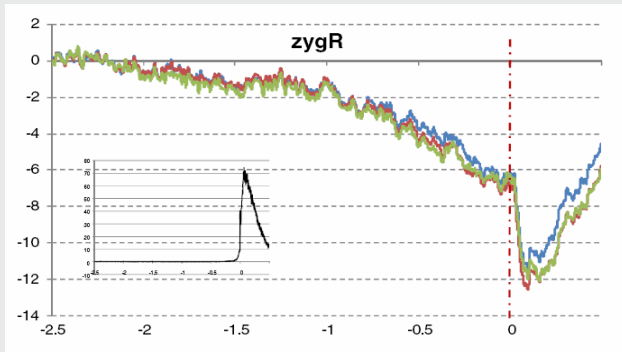


## Phase lags



## PERIPHERAL LEVEL

Peripheral measures: i.e. EMG, SCR



**Coupling** of neuronal synchronization and peripheral measures in the emergence of consciousness

Grandjean, D., Sander, D., Scherer, K. R. (2008). Conscious emotional experience emerges as a function of multilevel, appraisal-driven response synchronization. *Consciousness and Cognition*, 17(2), 484-495.

# Facial expressions are driven by appraisal



Munich actor corpus

## Co-occurrences for most frequent AUs

	AU1	AU2	AU4	AU5	AU6	AU10	AU12	AU25	AU26
AU1	<b>98.00</b>	92.41	71.43	61.76	29.79	31.43	34.04	48.44	46.81
AU2	73.00	<b>79.00</b>	41.43	47.06	19.15	11.43	36.17	35.94	46.84
AU4	50.00	29.00	<b>70.00</b>	35.29	25.53	45.71	0.00	35.94	50.00
AU5	21.00	16.00	12.00	<b>34.00</b>	8.82	2.94	14.71	20.40	14.71
AU6	14.00	9.00	12.00	3.00	<b>47.00</b>	25.71	57.45	34.29	53.19
AU10	11.00	4.00	16.00	1.00	9.00	<b>35.00</b>	0.00	40.00	42.86
AU12	16.00	17.00	0.00	5.00	27.00	0.00	<b>47.00</b>	17.02	17.02
AU25	31.00	23.00	23.00	5.00	12.00	14.00	8.00	<b>64.00</b>	0.00
AU26	44.00	37.00	35.00	20.00	25.00	15.00	30.00	0.00	<b>94.00</b>

Scherer, K. R. & Ellgring, H. (2007). Are facial expressions of emotion produced by categorical affect programs or dynamically driven by appraisal? *Emotion*, 7(1), 113-130.

# Action unit data: Upper face

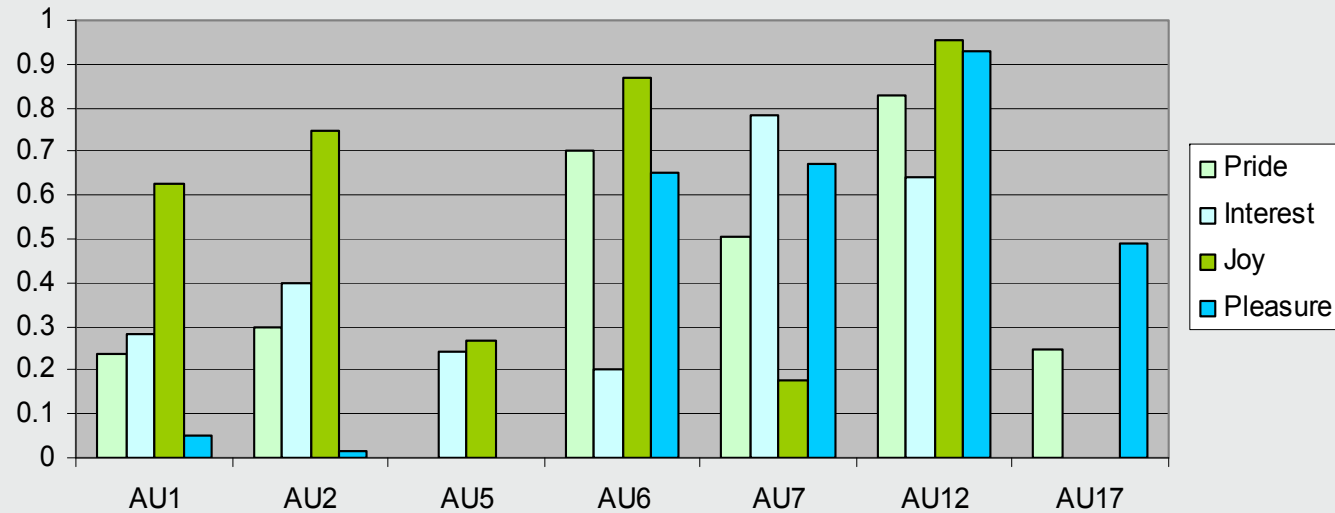
	Hot anger	Cold anger	Panic fear	Anxiety	Despair	Sadness	Disgust	Contempt	Shame	Boredom	Interest	Elated joy	Happiness	Pride
Inner brow raiser AU1	0.31	0.31	>> 0.94 <sup>a</sup>	0.63	>> 0.88 <sup>a</sup>	> 0.56	0.25		0.38	0.25	0.56	0.44	> 0.31	0.31
Outer brow raiser AU2	0.44	0.38	>> 0.69 <sup>a</sup>	0.38	0.44	0.31			0.31	0.25	0.63 <sup>a</sup>	0.44	> 0.38	0.31
Brow lowerer AU4	>> 0.25	> 0.31	> 0.69 <sup>b</sup>	> 0.56	>> 0.94 <sup>a</sup>	> 0.63 <sup>c</sup>	> 0.56	0.13	0.25					
Upper lid raiser AU5	> 0.31		> 0.50 <sup>a</sup>	> 0.19	> 0.25				0.13		0.38	> 0.19	>	
Cheek raiser AU6	0.13				0.44 <sup>b</sup>		0.38 <sup>c</sup>					> 0.63 <sup>b</sup>	> 0.81 <sup>a</sup>	0.38 <sup>c</sup>
Lid tightener AU7	>	> 0.19	>>	>	>>	>	>	0.50 <sup>a</sup>						
Nose wrinkler AU9	0.13						> 0.13							
Lids droop AU41						> 0.25 <sup>a</sup>				0.31 <sup>a</sup>				

# Facial expression of positive emotions seem also driven by appraisal results

## INTEREST – JOY – PRIDE – PLEASURE

These emotions are not clearly associated with emotion-specific facial configurations. Rather, facial expressions can be differentiated according to the underlying appraisal checks.

**AU DURATION**  
(AU duration / expression duration)



NOT SUDDEN



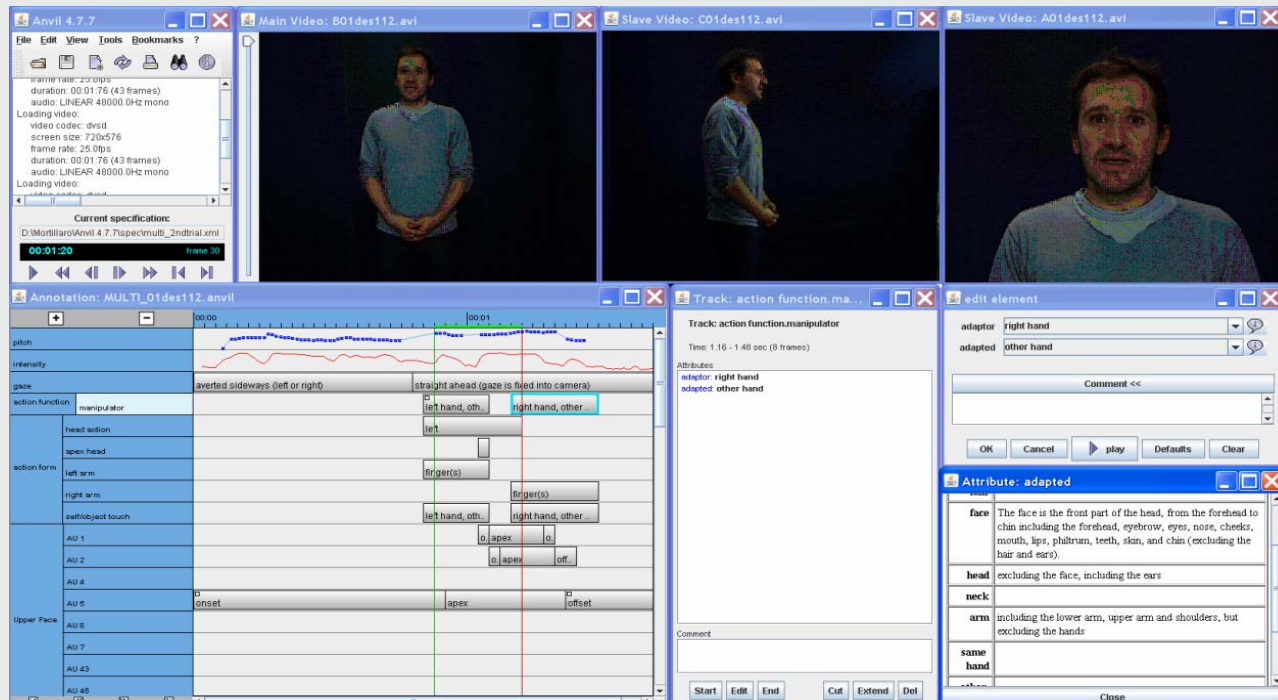
SUDDEN



Mortillaro, M., Mehu, M., & Scherer, K. R. (in prep). The facial expression of four positive emotions: The suitability of an appraisal approach.



## Geneva Multimodal Emotional Portrayals



1260 portrayals: rating study → CORE-SET (150)

- FACS coding
- Vocal analysis
- Coding of gestures and body movements

Dynamic coding and analysis of coherence or synchronization between channels

Banziger, T. & Scherer, K.R. (2007). Using actor portrayals to systematically study multimodal emotion expression: the GEMEP corpus. In A. Paiva, R. Prada, R.W. Picard (Eds.): *Affective Computing and Intelligent Interaction*. LNCS, 4738, pp. 476-487. Berlin/New York: Springer.

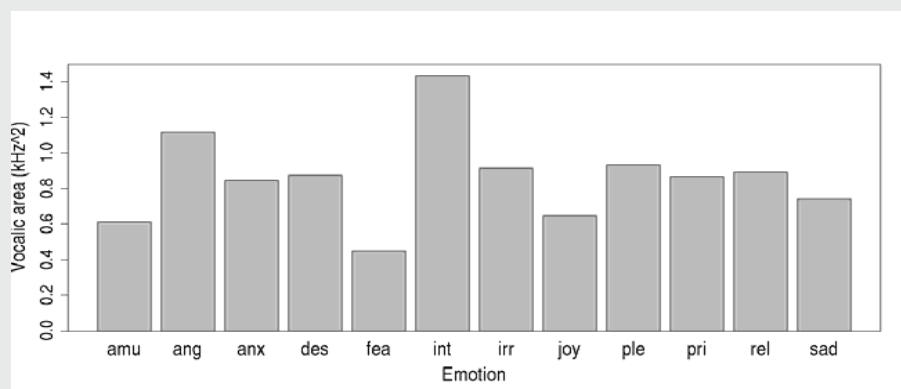
Castellano, G., Mortillaro, M., Camurri, A., Volpe, G., & Scherer, K.R. (in press). Automated analysis of body movement in emotionally expressive piano performances. *Music Perception*.

## Emotion effects on voice quality and articulation

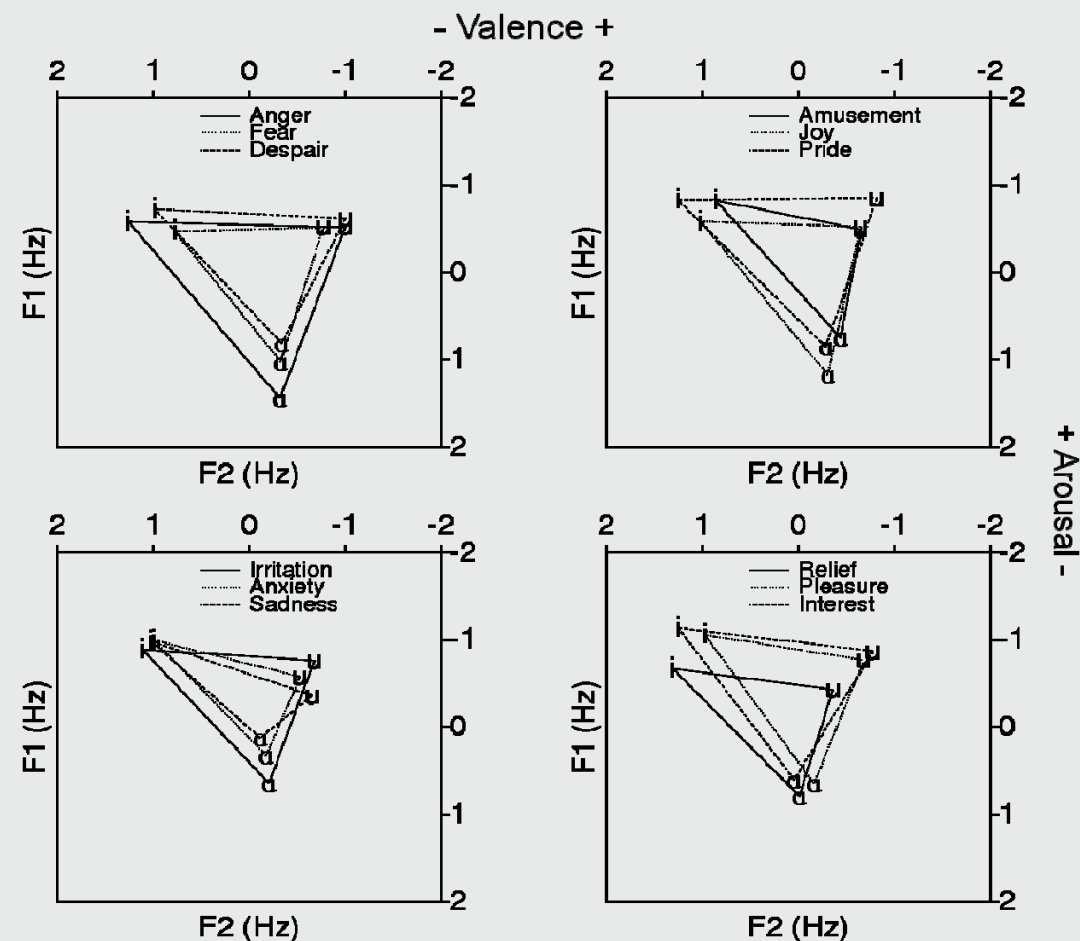
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We plotted the first and second formants of the vowels i, a, and u of utterances in the GEMEP corpus.



As predicted by the CPM, emotions differ in the size of their vocalic triangle: emotions with a high potency (anger, interest) have a larger vocalic triangle



Goudbeek, M., Goldman, J-P., and Scherer, K.R. (in press). Emotions and articulatory precision. *Proceedings of Interspeech 2008*. Brisbane, Australia.



# Thermal measurement of facial muscle activity

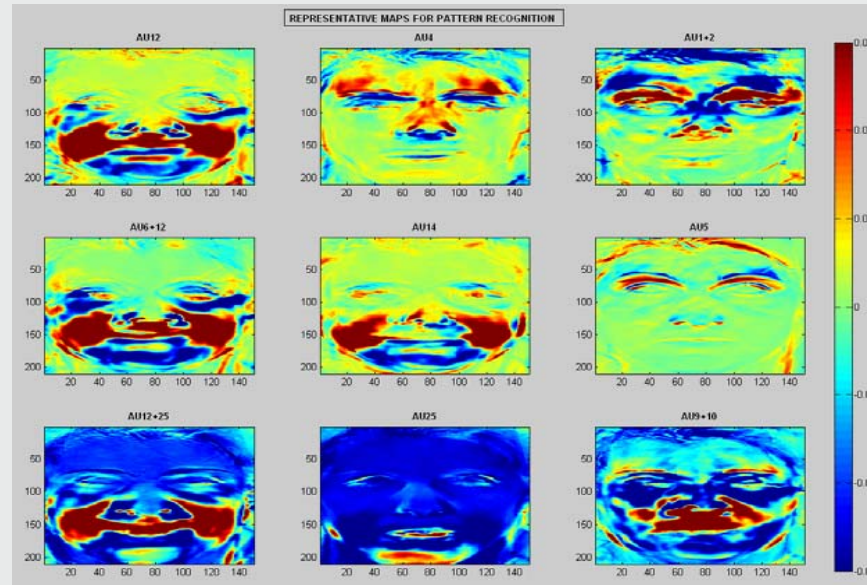


4 FACS experts  
 9 different AUs  
 2 speeds  
 3 intensities

Thermographic camera  
 FLIR SC3000

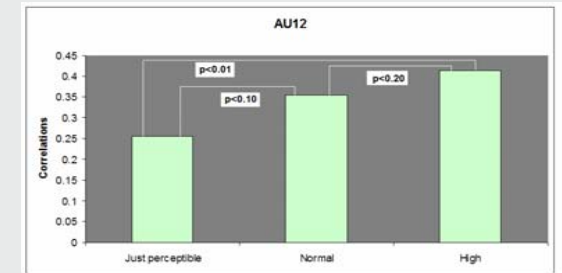
3 approaches  
 -PCA  
 -Anatomical ROI temperature changes  
 -Anatomical ROI thermal motion

## Action Units discrimination

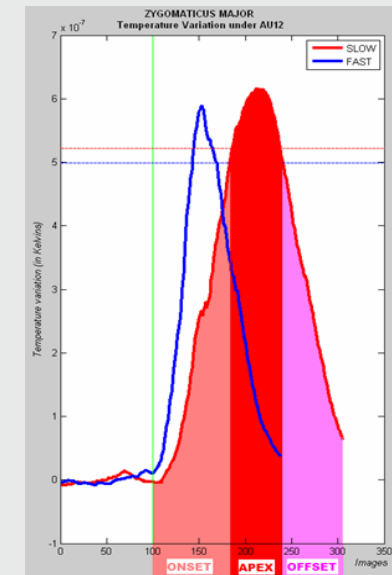


Predicted AUs	Simulated AUs								
	AU12	AU6+12	AU12+25	AU14	AU25	AU9+10	AU4	AU1+2	AU5
AU12	27.3	33.3	9.1	30.3	0	0	0	0	0
AU6+12	33.3	27.3	18.2	9.1	0	3	0	9.1	0
AU12+25	20.7	3.4	69	0	3.4	0	3.4	0	0
AU14	21.2	0	9.1	66.7	3	0	0	0	0
AU25	0	0	0	0	70	23.3	6.7	0	0
AU9+10	0	9.4	6.3	0	0	75	6.3	3.1	0
AU4	2.6	2.6	2.6	2.6	2.6	5.1	61.5	15.4	5.1
AU1+2	4.2	4.2	8.3	4.2	6.3	8.3	8.3	43.8	12.5
AU5	0	0	0	3.7	14.8	7.4	18.5	11.1	44.4

## Thermal Sensitivity to Intensities



## Thermal Sensitivity to Kinetics Apex determination

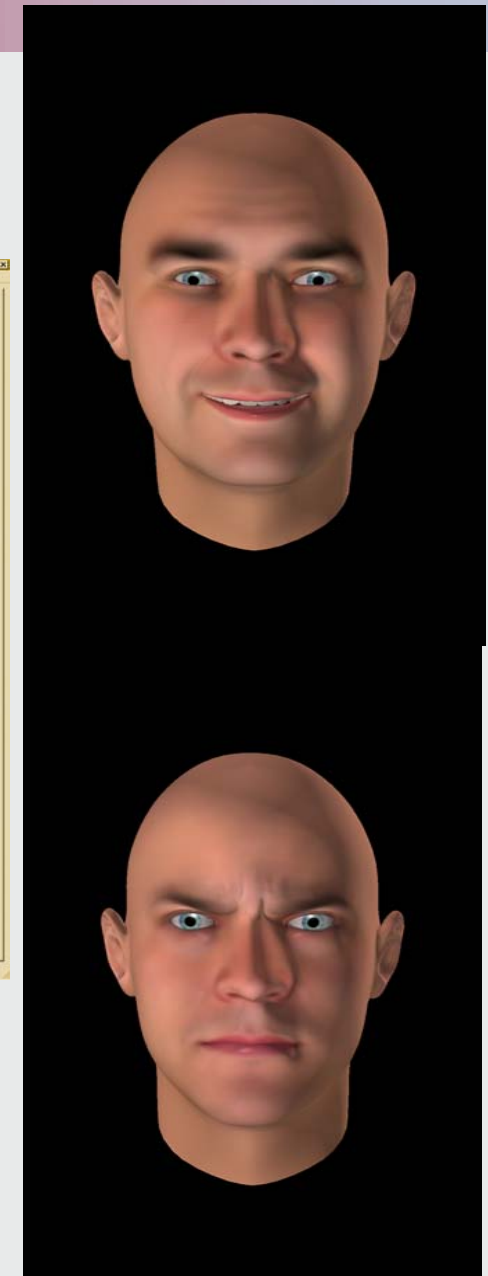
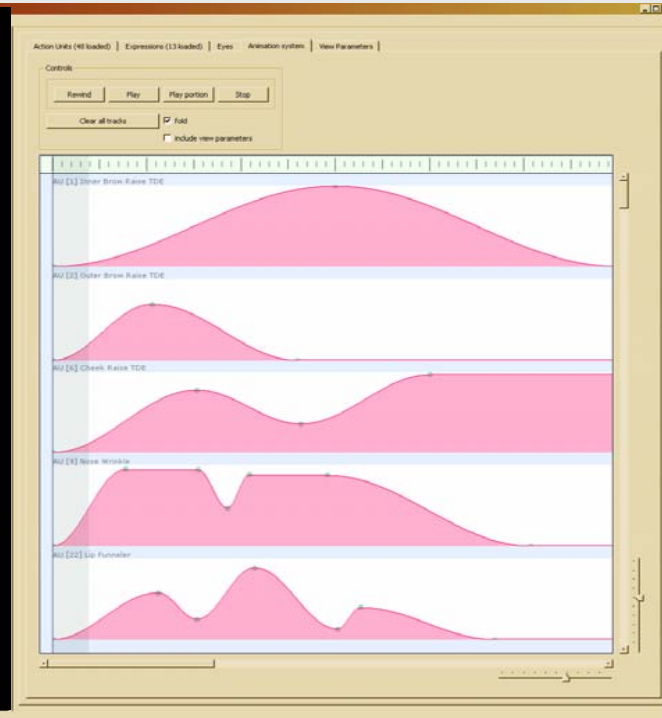
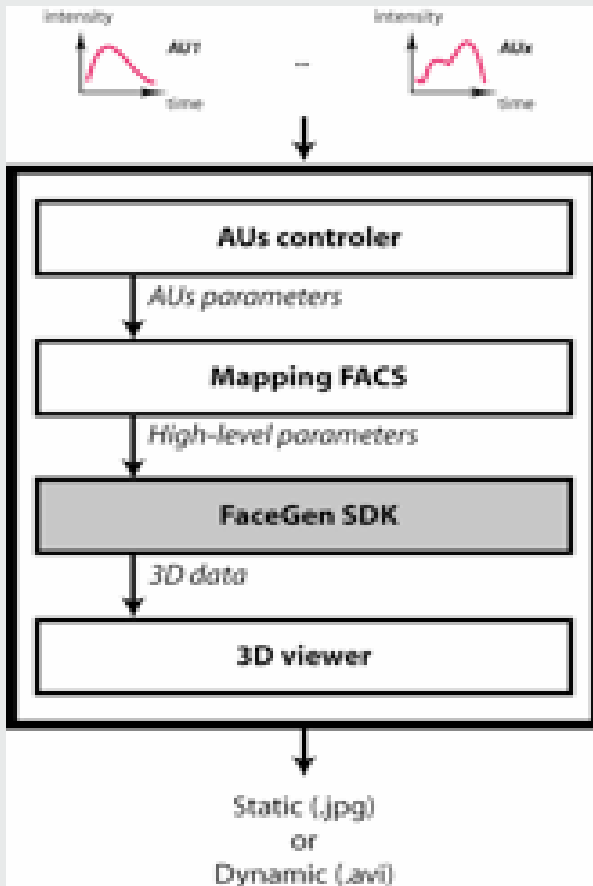




# Analyzing effects of desynchronisation on perception: Dynamic control of synthetic facial expressions

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Roesch, E.B., Tamarit, L., Reveret, L., Grandjean, D., Sander, D., Scherer, K.R. (in prep.) FACSGen: A tool to dynamically model synthetic emotional expressions, based on facial action units.



- Can one predict the result of
- a penalty based on the nonverbal
- behavior of the player?





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# The presentation of emotion in everyday life





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# Authenticity in emotion research

Munich corpus portrayals

9 actors/speakers

2 sentences, 8 emotions



Unnatural, unrealistic, counterfeit,  
artificial, fake, not believable, feigned,  
unreliable

Natural, realistic, authentic,  
genuine, real, believable,  
sincere, trustworthy



- natural unnatural → behavior, situation
- realistic unrealistic → behavior, situation
- authentic counterfeit → original? copy?
- genuine artificial → original? copy?
- real fake → original? copy?
- believable not believable → observer judgment
- sincere feigned → actor intention
- trustworthy unreliable → observer judgment



- behavior perspective
- actor perspective
- observer perspective